



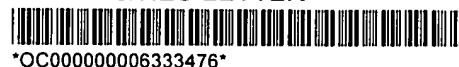
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/863,908	05/24/2001	Masakazu Ogasawara	Q64529

CONFIRMATION NO. 9347

## FORMALITIES LETTER



\*OC00000006333476\*

SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC  
2100 Pennsylvania Avenue, NW  
Washington, DC 20037-3213

Date Mailed: 07/24/2001



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1386.
  - \$1116 for 62 total claims over 20.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2226.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09/21/2001 EABUBAK1 00000056 09863908

01 FC:101	710.00 OP
02 FC:103	1116.00 OP
03 FC:104	270.00 OP
04 FC:105	130.00 OP



N Sector #

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Masakazu OGASAWARA, et al.

Appln. No.: 09/863,908

Group Art Unit: 2651

Confirmation No.: 9347

Examiner: NOT YET ASSIGNED

Filed: May 24, 2001

For: ABERRATION CORRECTING UNIT, OPTICAL PICKUP APPARATUS, AND  
RECORDING/REPRODUCING APPARATUS

**SUBMISSION OF EXECUTED DECLARATION, ASSIGNMENT & FILING FEE**

**ATTN: BOX MISSING PARTS**

Commissioner for Patents

Washington, D.C. 20231

Sir:

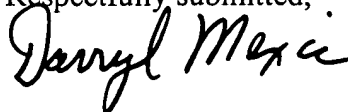
In response to the "Notice to File Missing Parts of Nonprovisional Application filed under 37 CFR 1.53(b) - Filing Date Granted," mailed July 24, 2001, for the above application, Applicant respectfully submits herewith the Declaration for the above identified application properly executed by the inventors. Also enclosed please find Submission of Formal Drawings and ten (10) sheets of drawings, Submission of Priority Document and one (1) priority document, an executed Assignment and PTO Form 1595.

Checks for the statutory fee of \$2,226.00 and Assignment recordation fee of \$40.00 are attached. Please also charge any underpayment or credit any overpayment to Deposit Account No. 19-4880. A duplicate copy of this paper is attached.

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USSN 09/863,908

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Date: September 20, 2001

Respectfully submitted,

A handwritten signature in black ink that reads "Darryl Mexic". The signature is written in a cursive, flowing style.

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Darryl Mexic  
Registration No. 23,063